TRUST MANAGEMENT PIE FUNDS SCHEME

APPLICATION FORM A - FOR ENTITIES (TRUST)

Product Disclosure Statement Date - 7 July 2026

Please email this Application Form, together with any other relevant documentation, to investmentsupport@trustinvestments.co.nz.
If you are an individual or joint ownership investor, please use Application Form B.

Please complete this form in CAPITAL LETTERS

Section 1 - Investor details

Name of Trust

Investment account or trading name (if applicable)

This name is discretionary and will be recorded against the investment account for the purpose of statements.

Type of Trust

E.g. Trust, Incorporated Society, Company

Date Established / /

Is this a Discretionary Trust or a Trust with more than 10 beneficiaries?

☐ Yes ☐ No

If yes, please confirm the classes or types of beneficiaries

Is this a Charitable Trust?

☐ Yes ☐ No

If yes, please confirm the objectives of the Trust

Registration Number (if applicable)

E.g. Company number, Charitable trust registration number

Contact Information for Entity

Email address

Contact Number

Physical address (not a PO Box number)

This address will be recorded as the registered address for your investment unless an alternative postal address is provided.

City

Country

Post Code

Postal address (if different from above)

City

Country

Post Code

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Full name and Date of Birth of all Trustees, Settlors, Protectors, and Specified/Named Beneficiaries

Individual 1

Role First name (s) Surname

Contact phone Email address Date of Birth / /

Individual 2

Role First name (s) Surname

Contact phone Email address Date of Birth / /

Individual 3

Role First name (s) Surname

Contact phone Email address Date of Birth / /

Individual 4

Role First name (s) Surname

Contact phone Email address Date of Birth / /

Individual 5

Role First name (s) Surname

Contact phone Email address Date of Birth / /

Individual 6

Role First name (s) Surname

Contact phone Email address Date of Birth / /

Where there are more relevant individuals, please reproduce this page and complete the required information for each person.

Are any of the Trustees acting as a Professional Trustee?

☐ Yes ☐ No

If yes, please ensure this is reflected in the table on page 2.

Are you an existing unitholder in another fund managed by FundRock NZ?

☐ Yes ☐ No Unitholder number:

Section 2 - Investment Instructions

Instructions: Enter the amount you wish to invest in the Funds (in NZD).
Account Details: Your account number will be provided once your account opening is confirmed.

Trust Management PIE Funds	Initial Investment (minimum $25,000)	Subsequent Investment (minimum $5,000)
Property Fund	$	$
ESG Australasian Share Fund	$	$
ESG NZ Bond Fund	$	$
ESG International Share Fund	$	$
ESG International Bond Fund	$	$
ESG Balanced Fund	$	$

Property Fund note: It is important to note that a request to withdraw from the Property Fund requires a 12 month notice period. The Property Fund also has unique risks to consider before investing. Please see the Product Disclosure Statement for information.

Payment Details

Bank account name: **NZGT-Trust Management-Investment Funds**

Bank account number: **01-0102-0534214-000**

Reference: **Your account name or account number**

Bank Account and Distribution

You must provide a New Zealand bank account which is in the same name as the Trust Management PIE Funds Scheme ('Scheme') investor identified in Section 2.

Account Name

Account details

Bank | Branch Number | Account Number | Suffix

Please specify whether you would like any distributions to be paid to your bank account or reinvested in additional units in the relevant Fund. If no option is selected, distributions will be reinvested.

☐ Reinvest in additional units

☐ Direct credit to the bank account nominated below

As part of your application, you must enclose a pdf copy of your bank statement, bank deposit slip, or other confirmation from your bank which verifies your account name and account number. This documentation should be dated no more than 12 months prior to the date of this application.

If you are investing in more than one fund, please provide a response for each.

Trust Management PIE Funds	Reinvest	Direct Credit
Property Fund	☐	☐
ESG Australasian Share Fund	☐	☐
ESG NZ Bond Fund	☐	☐
ESG International Share Fund	☐	☐
ESG International Bond Fund	☐	☐
ESG Balanced Fund	☐	☐

Section 3 - Investment Information

The Anti-Money Laundering and Countering Financing of Terrorism Act 2009 requires that we obtain information on the proposed nature and purpose of your business relationship with us and to collect and sometimes verify the source of funds or wealth for your investment(s).

What is the primary reason for your investment?

- ☐ Capital Growth
- ☐ Liquidity Management
- ☐ Long-term Investment
- ☐ Income generation
- ☐ Other (please specify)

What is the primary source of the money the entity intend to invest in the Scheme?

- ☐ Accumulated Business earnings
- ☐ Accumulated personal Savings
- ☐ Funds from Sale of Business
- ☐ Funds from Sale of property
- ☐ Inherited Family Wealth
- ☐ Other (please specify)

What is the total amount you expect to invest in the fund over the lifetime of your investment? If you are investing in more than one fund, please provide a response for each.

Trust Management PIE Funds			
Property Fund	☐ Less than $50,000	☐ $50,000 to $500,000	☐ More than $500,000
ESG Australasian Share Fund	☐ Less than $50,000	☐ $50,000 to $500,000	☐ More than $500,000
ESG NZ Bond Fund	☐ Less than $50,000	☐ $50,000 to $500,000	☐ More than $500,000
ESG International Share Fund	☐ Less than $50,000	☐ $50,000 to $500,000	☐ More than $500,000
ESG International Bond Fund	☐ Less than $50,000	☐ $50,000 to $500,000	☐ More than $500,000
ESG Balanced Fund	☐ Less than $50,000	☐ $50,000 to $500,000	☐ More than $500,000

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How often do you intend to make additional investments in this fund? If you are investing in more than one fund, please provide a response for each.

Trust Management PIE Funds	Monthly	Semi-Annually	Annually	One-off
Property Fund	☐	☐	☐	☐
ESG Australasian Share Fund	☐	☐	☐	☐
ESG NZ Bond Fund	☐	☐	☐	☐
ESG International Share Fund	☐	☐	☐	☐
ESG International Bond Fund	☐	☐	☐	☐
ESG Balanced Fund	☐	☐	☐	☐

How often do you intend to make redemptions from this fund? If you are investing in more than one fund, please provide a response for each.

Trust Management PIE Funds	Monthly	Semi-Annually	Annually	One-off
Property Fund	☐	☐	☐	☐
ESG Australasian Share Fund	☐	☐	☐	☐
ESG NZ Bond Fund	☐	☐	☐	☐
ESG International Share Fund	☐	☐	☐	☐
ESG International Bond Fund	☐	☐	☐	☐
ESG Balanced Fund	☐	☐	☐	☐

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Section 4 - Tax Information

We are required to collect and report information to the New Zealand Inland Revenue Department on foreign tax residency under the Foreign Account Tax Compliance Act (FATCA) and the OECD's Standard for Automatic Exchange of Financial Account Information in Tax Matters which incorporates the Common Reporting Standard (CRS). If you are unsure about your tax status you should seek advice from a qualified tax advisor or refer to www.ird.govt.nz.

Section 4.1 - Tax Details

NZ Tax Residency ☐ Yes ☐ No

If yes, entity IRD number

Entity prescribed investor rate (PIR)*

Please select one. You can find out more about PIRs at ird.govt.nz/pir. If you are unsure of your PIR, we recommend you seek professional advice or contact Inland Revenue. If the Trust is a non-resident investor, your PIR is 28%. If a PIR is not selected, 28% will apply.

☐ 0% ☐ 10.5% ☐ 17.5% ☐ 28%

☐ Notified Foreign Investor[2]

Section 4.2 - Countries of Tax Residence

Is the entity a tax resident in any country other than, or in addition to, New Zealand? ☐ Yes ☐ No

If yes, please complete the below table:

Country of Tax Residency	Tax Number (or equivalent)	Reason for not providing one

*PIR – Prescribed Investor Rate. We will use the highest Prescribed Investor Rate for joint holders. If you are unsure what your PIR is, visit www.ird.govt.nz and search for 'Prescribed Investor Rate', contact Inland Revenue on 0800 227 774, or speak to your tax advisor. If your PIR is invalid or you do not choose one, the default 28% will apply.

[2] For information on Notified Foreign Investors please refer to Non-residents' PIE income.

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Section 4.3 - Entity Classification

For the purposes of the provisions of the United States legislation commonly known as the Foreign Account Tax Compliance Act ('FATCA'). Any definitions provided are for information purposes only. You should seek professional tax advice if you have any queries regarding your FATCA status.

For entities (including trusts): Is the entity a US company, trust, or partnership for US tax purposes?

☐ Yes ☐ No

US Taxpayer Information Number (TIN):

If no, which of the following describes your FATCA status?
(Note if you are a Financial Institution you will need to provide a GIIN number below)

☐ Financial institution [1]

☐ Active non-financial entity[2] (Go to Section 4.5 Declaration)

☐ Passive non-financial entity (Active NFE)[3] (Go to Section 4.4 Controlling Persons)

If the Entity meets the definition of another classification or exemption provided for under FATCA please tick here and identify the classification or exemption below:

If you have identified another classification or exemption provided for under FATCA please go to Section 4.5 Declaration

[1] A custodial or depository institution, an investment entity, or a specified insurance company which is a tax resident in New Zealand
[2] A non-US entity that is not a financial institution and meets one of the criteria outlined within the US Treasury Regulations or the IGA in place between New Zealand the US. These criteria include being a listed entity, or a related entity thereof, a registered charity, or an entity that derives less than 50 % of its gross assets and income from passive income which means that it has not been derived from the active conduct of a trade or business.
[3] A non-US entity that is not a financial institution and does not meet any of the criteria to be considered "active" as outlined within the US Treasury Regulations and the IGA in place between New Zealand and the US. This will generally mean that the entity derives more than 50% of its gross assets and income from passive income which means that it has not been derived from the active conduct of a trade or business.

If the entity has a Global Intermediary Identification Number (GIIN), please provide it:
(If you ticked FATCA status as a "financial institution" above you will need to provide a GIIN Number, if you are unable to provide a GIIN please explain why)

GIIN Number

For help in determining your status for FATCA purposes, see the Inland Revenue's website at www.ird.govt.nz/international/nzwithos/fatca/fatca-index.html. You need to provide us with further information if there is a change in circumstances which renders the above certification incorrect or unreliable. We may request further information from you in the future for this purpose.

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Section 4.4 - Controlling Persons - Passive NFEs Only

A Controlling Person is a natural person(s) who exercises control over the entity. For Trusts/Estates this will include all Trustees, Settlors, Protectors and Executors. A Controlling Person is also any beneficiary, including discretionary beneficiaries, who have received a distribution from the Trust[1]. All Controlling Persons must be identified in this section. If we identify Controlling Persons who have not been disclosed below we will reach out to you and you will be required to provide this information before your account can be opened.

Controlling Person 1

Name

Date of Birth

/ /

Relationship to Entity

Residential address

Is the Controlling Person a tax resident in any country other than, or in addition to, New Zealand? (Please note that U.S. citizenship is treated as U.S. tax residency)

☐ Yes ☐ No

If yes, please complete the below table:

Country of Tax Residency	Tax Number (or equivalent)	Reason for not providing one

Controlling Person 2

Name

Date of Birth

/ /

Relationship to Entity

Residential address

Is the Controlling Person a tax resident in any country other than, or in addition to, New Zealand? (Please note that U.S. citizenship is treated as U.S. tax residency)

☐ Yes ☐ No

If yes, please complete the below table:

Country of Tax Residency	Tax Number (or equivalent)	Reason for not providing one

Where there are more than two controlling persons, please reproduce this page and complete the required information for each additional controlling person.

If a future distribution is paid to a beneficiary (including discretionary beneficiaries) who has not been identified here it is the Applicant's responsibility to notify FundRock NZ no later than 30 days after the distribution has been paid. From the date of payment this beneficiary will become a Controlling Person of the Trust and their name, date of birth, residential address, and information regarding any foreign tax residencies they have, must be provided.

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Section 4.5 - Tax Declaration

Please read the declaration below and tick to confirm your acknowledgement and agreement of the statements made.

·The applicant declares that it has provided true and complete information with regard to its tax residency and the tax residency of its Controlling Persons.
·The applicant acknowledges that FundRock NZ Limited will provide information to the New Zealand Inland Revenue relating to the foreign tax residency of you or your Controlling Persons where FundRock NZ Limited has a legal obligation to do so.
·The applicant agrees to notify FundRock NZ Limited within 1 month of any change in circumstances which might impact your tax residency or the tax residency of any Controlling Person identified within this application form, or which causes the tax residency information provided to become incorrect or incomplete. This includes any future payment made to a beneficiary, including discretionary beneficiaries, who has not been identified in this application.
·The Applicant understands that failure to provide FundRock NZ Limited with requested tax information in the future may result in restrictions being placed on your account.

The applicant (Trust), ______________________ , acknowledges and agrees with the statements made above.

☐ Yes

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Section 5 - Identity Verification

The Anti-Money Laundering and Countering Financing of Terrorism Act 2009 requires us to verify the identity of new investors in the Trust Management PIE Funds Scheme. This means your application must be accompanied by relevant certified documents as outlined in the Checklist for Identity Verification and Certification of Documents sections below.

For natural persons who are citizens of, and reside in, New Zealand you have the option to have your identity verified electronically as an alternative to providing certified documents. Please note that electronic identity verification (EIV) is undertaken using a third party called GBG Cloudcheck. If you would like to opt for EIV please indicate this on the signature page and provide the required consent. Please be aware that EIV will require you to take a live selfie as part of the process and facial recognition software will be used to confirm a match between your picture and your ID documents. We may be required to ask you for additional information if we are unable to verify your identity in this way. There is no extra cost to you and you are under no obligation to have your identity verified electronically.

Please confirm how you will verify the identity of all required individuals when submitting this application form. Please also review the 'Checklist for Identity Verification' below to ensure the correct information is provided with this application form. We may be required to ask you for additional information or delay your account opening if the correct information is not provided.

Section 6 - Privacy Notice

This Privacy Notice relates to the personal information that you are providing to FundRock NZ Limited (FundRock NZ) as manager of the Trust Management PIE Funds by way of this application form and any subsequent personal information which you provide to FundRock NZ in the future. FundRock NZ is required to collect this personal information from you to comply with its statutory anti-money laundering and tax obligations, and to properly administer your investment. FundRock NZ's collection, use, storage and disclosure of your personal information is subject to the New Zealand Privacy Act 2020, however your specific privacy rights will depend on which jurisdiction you reside in. FundRock NZ will only disclose your personal information to other parties in accordance with applicable law and regulation. These other parties may include the investment manager of Trust Management PIE Funds, Trust Investments Management Limited, the custodian of Trust Management PIE Funds, The New Zealand Guardian Trust Company Limited (for each Fund other than the ESG Australasian Share Fund and the ESG NZ Bond Fund), BNP Paribus (ESG Australasian Share Fund), or Public Trust with BNP Paribus as Sub-Custodian (ESG NZ Bond), the administrator of Trust Management PIE Funds, Apex Investment Administration (NZ) Limited and Trust Investments Management Limited (Property Fund), the registry provider to the Trust Management PIE Funds, Apex investment Administration (NZ) Limited and the Supervisor of Trust Management PIE Funds, The New Zealand Guardian Trust Company Limited. FundRock NZ may also share your personal information with any member of the Apex Group (of which FundRock NZ is an affiliated entity) where FundRock NZ deems it necessary to perform its services as manager. In some cases, the parties receiving your personal information may be outside New Zealand. Before any cross-border transfer of your personal information, FundRock NZ will ensure that adequate safeguards are in place. FundRock NZ will provide you (on request) with the name and address of any entity to which it has disclosed your information. You have the right to access all personal information held about you by FundRock NZ. If any of the information is incorrect, you have the right to request to have it corrected. You also have the right to complain to FundRock NZ or the New Zealand Privacy Commissioner if at any time you object to the way in which your personal information has been used. Please refer to the relevant Product Disclosure Statement for further information on how to complain, and to the Privacy Policy on www.fundrock.com for further information in relation to this Privacy Notice.

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Checklist for identity verification

Partnership Account

- [ ] Original or certified true copy of bank deposit slip, bank statement or confirmation from your bank verifying the account name and number
- [ ] Certified true copy of identification for each applicant with the certification not more than three months old[1]
- [ ] Original or certified true copy of address verification for each applicant that is not more than three months old[2]
- [ ] Original or certified true copy of the Partnership Deed with the certification not more than three months old

Trust Account

- [ ] Original or certified true copy of bank deposit slop, bank statement or confirmation from your bank verifying the account name and number from section 7[1]
- [ ] Certified true copy of identification and address verification for each, where relevant, trustee, settlor, protector, appointor, all directors and beneficial owners of a trustee company. If it is not acting in a professional capacity, one director of a professional trustee company, and any other person who is authorised by the trust to provide instructions in relation to this investment. The certification must not be more than three months old[2]
- [ ] List of authorised signatories (if applicable)
- [ ] Original or certified true copy of the Trust Deed with the certification not more than three months old
- [ ] Evidence of source(s) of wealth of the Trust

Company Account

- [ ] Original or certified true copy of bank deposit slip, bank statement or confirmation from your bank verifying the account name and number
- [ ] Certificate of Incorporation
- [ ] Proof of address of registered office
- [ ] Trading name (if applicable)
- [ ] List of directors and authorised signatories
- [ ] Certified true copy of identification for each director, beneficial owner* of the company, authorised signatory or anyone else acting on behalf of the company in respect of the investment, with the certification not more than three months old[1]
- [ ] Original or certified true copy of address verification for each director and authorised signatory that is not more than three months old[2]

* Beneficial owners: A beneficial owner is an individual who has effective control of a customer or person on whose behalf a transaction is conducted, or who owns 25% or more of the customer or person on whose behalf a transaction is conducted. Examples are:
- Beneficial owners of a company may include shareholders, senior management (e.g. CEO), and any other person with effective control (such as some directors).
- Beneficial owners of a trust may include a beneficiary of the trust, and any other individual who has effective control over the trust, specific trust property, or the power to amend the trust deed, or remove or appoint trustees or one or more of the beneficiaries of the trust.

Estate Account

- [ ] Original or certified true copy of bank deposit slip, bank statement or confirmation from your bank verifying the account name and number
- [ ] Certified true copy of identification for each executor with the certification not more than three months old[1]
- [ ] Original or certified true copy of address verification for each executor that is not more than three months old[2]
- [ ] Original or certified true copy of the Probate with the certification not more than three months old

For investor types not listed above, please contact us directly.

[1,2] Please refer to Notes section on page 14

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Section 7 - Declaration

By signing below I confirm that:

- I have received, read, and retained a copy of the current Trust Investment PIE Funds Scheme Product Disclosure Statement for the relevant fund(s) being invested in;
- I agree to be bound by the terms and conditions of the master trust deed and establishment deeds for Trust Investment PIE Funds, as amended from time to time which are available at www.disclose-register.companiesoffice.govt.nz;
- I have read and consent to the Privacy Notice above, including the disclosure of your personal information to the third parties outlined in the Privacy Notice. You also acknowledge that personal information may be disclosed to statutory authorities to which FundRock NZ, the Investment Manager, Custodian, Administrator, Registry provider, or Supervisor have reporting obligations under law;
- I am authorised to provide personal information or sign on behalf of the applicant and evidence of this authority is provided with this application form;
- I agree to update FundRock NZ as soon as practicable if there is any change to the information I have provided in this application form, and no later than 1 month if any such change impacts the tax residency information I have provided in this application form whether in relation to the entity or to its Controlling Persons; where applicable, those signing this application form are persons with authority to sign this form on behalf of the entity named;
- I consent to receiving electronic communications (including email) from FundRock, TIML, NZGT, and Apex relating to my investment in Trust Management PIE Funds Scheme and as otherwise set out in the terms above in relation to the Privacy Act 2020;
- I certify that all information given in this form is true and correct; I understand that the value of my investment in Trust Investment PIE Funds is liable to fluctuations and may rise and fall from time to time;
- I understand that none of FundRock, TIML, NZGT, Apex or any other person guarantees my investment in the Trust Management PIE Funds Scheme;
- I understand that an investment into the Property Fund is made up of investments that have reduced levels of liquidity. As such a minimum notice period of 12 months is required for redemptions from the fund;
- I understand that redemptions from the Funds may be suspended or deferred if the manager, acting in good faith, believes this to be in investors' best interests. Further information is provided in the Trust Management PIE Funds' Product Disclosure Statement, Other Material Information document and master trust deed;
- I understand the manner in which the fees will be deducted from my investment;
- I understandthat any interestincome earned from monies held in the applications/redemption bank account may be retainedby the relevant Fund;
- I agree that in the event this account holds a balance of less than $500 and stays at or below that level for at least three consecutive calendar months, FundRock may close the account and return the remaining account balance (if any) to the bank account listed above.

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Section 8 - Declaration

Signature of Individual 1, director or trustee

Name	Signature	Date

Signature of Indivdiual 2, director or trustee

Name	Signature	Date

Signature of Individual 3, director or trustee

Name	Signature	Date

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Notes

- **Identification details – please provide details and a certified copy of the documents set out below, depending on the option that you choose:**

Option 1

ONE of the following:

- Personal details page of your passport

Option 2

ONE of the following:

- New Zealand or foreign full birth certificate
- Certificate of New Zealand citizenship or a certificate issued by a foreign government

ONE of the following:

- New Zealand driver licence or 18+ card
- Valid and current international driving permit and a licence from another country with a translation

Option 3

ONE of the following:

- A New Zealand driver licence

ONE of the following:

- A bank statement issued by a registered bank in the 12 months immediately preceding the date of the application.
- A statement issued by a government agency to you in the 12 months immediately preceding the date of the application, for example a statement from Inland Revenue.

- **Proof of address details – investors must provide proof (either original or certified copy of the residential address addressed to them that is not more than 3 months old.**

ONE of the following:

- Bank statement
- Utility bill (e.g. electricity bill, gas bill or landline and internet bill)
- Letter or statement issued by a government agency.

Certification of Documents

We will accept photocopied documents certified by someone who is over 16 years of age and is ONE of the following:

- Commonwealth Representative (as defined in the Oaths and Declarations Act 1957)
- Member of the Police
- Justice of the Peace
- Registered medical doctor
- Kaumātua
- Registered teacher
- Minister of religion
- Lawyer (as defined in the Lawyers and Conveyancers Act 2006)
- Notary public
- New Zealand Honorary consul
- Member of Parliament
- Chartered accountant (within the meaning of section 19 of the New Zealand Institute of Chartered Accountants Act 1996)
- A person who has the legal authority to take statutory declarations or the equivalent in New Zealand

When certification occurs overseas, copies of international identification provided by a customer resident overseas must be certified by a person authorised by law in that country to take statutory declarations or the equivalent in the customer's country.

The person certifying your document must NOT be any of the following:
- related to you; for example, your parent, child, brother, sister, aunt, uncle or cousin
- your spouse or partner
- a person who lives at the same address as you
- a person involved in the transaction or business requiring the certification

If insufficient identification and address verification is provided, your application will be rejected and your payment returned. Payments returned to investors will not be eligible to accrue any interest

The person certifying your documents MUST sight the originals and make a statement to the effect that the documents are a true copy and represent the identity of the named person. The certification MUST include the following with the certification not more than three months old.

- Name and signature of the person certifying the documents
- Date of certification
- The capacity in which the person is certifying the documents (from the list of individuals above)